SEP 2 7 2004 SU

16.37 IFU

Approved for use through 08/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE inder the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/938 878 TRANSMITTAL Filing Date 24 August 2001 **FORM** First Named Inventor Patil et al. Art Unit (to be used for all correspondence after initial filing) 1637 Examiner Name Fredman, Jeffrey N. Attorney Docket Number 200/1004-20 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Drawing(s) Fee Transmittal Form to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): -Return Receipt Postcard Request for Refund **Express Abandonment Request** CD. Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Deana A. Amold, Ph.D. Reg. No.: 52,567 Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Deana A. Amold, Ph.D. Date Signature This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

GERTIFICATE OF MAILING
hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450 on

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PATIL, N. et al.

Application No.: 09/938,878

Filed: August 24, 2001

For: Methods for Reducing Complexity of

Nucleic Acid Samples

Examiner: Fredman, Jeffrey N.

Art Unit: 1637

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT

UNDER 37 CFR §1.97 AND §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement

and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

apply):	This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that				
		(1)	It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d) OR		
		(2)	It is being filed within 3 months of entry of a national stage OR		
		(3)	It is being filed before the mail date of the first Office Action on the merits OR		
	\boxtimes	(4)	It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.		
	referen	ced fees ted with	tion. The Commissioner is hereby authorized to charge the aboves of \$0.00 and/or charge any additional fees or credit any overpayment this communication to Deposit Account No. 50-2043 (Docket No.		
			Respectfully submitted,		
			PERLEGEN SCIENCES, INC.		
Dated:	Seg	A.2	1, 2004 By: Spand Dud Bl. D. No. 50567		
			Deana A. Arnold, Ph.D., Reg. No. 52,567		

2021 Stierlin Court Mountain View, CA 94043 (650) 625-4603 Customer No. 31662

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to res

Superwork Reduction
Superwork Reduction
1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

Complete if Known				
Application Number	09/938,878			
Filing Date	24 August 2001			
First Named Inventor	Patil et al.			
Art Unit	1637			
Examiner Name	Fredman, Jeffrey N.			
Attorney Docket Number	200/1004-20			

			U. S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			***
	1	US-			
		US-		··· <u>-</u>	
		US-			
	1	US-			
		US-		-	
		US-		-	
		US-			
		US-			
	1	US-			
		US-			

		FOR	REIGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T⁵
		Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	MM-DD-YYYY			
	Α	WO 00/24939	05-04-2000	Affymetrix, Inc		
	В	WO 99/55913	11-04-1999	Sidney Kimmel CancerCenter		
·		1				L
	<u> </u>					╄
	ļ					L
						1

Examiner	Date	•
Signature	Considered	
	 L	

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.